

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: JAMES CHEN

Serial No.: 09/905,405

Customer No.: 24961

Confirm. No.: 6230

Filed: July 13, 2001

For: *COMPOSITIONS AND METHODS  
FOR TREATMENT OF METABOLIC  
BONE DISORDERS AND BONE  
METASTASES*

Art Unit: 3764

Examiner: Unassigned

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TRANSMITTAL LETTER

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

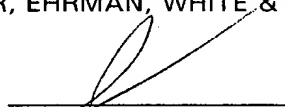
Transmitted herewith are a Supplemental Information Disclosure Statement, Form PTO-1449 (22 pages) and cited references for filing in connection with the above-identified application.

- ☒ The Commissioner is hereby authorized to charge any fee, including any submitted herewith if the attached check(s) is in the wrong amount or otherwise improper or missing, that may be due in connection with this and the attached papers, or with this application during its entire pendency to or to credit any overpayment to Deposit Account No. 50-1213. A duplicate of this sheet is enclosed.

\* \* \*

Respectfully submitted,  
HELLER, EHRMAN, WHITE & McAULIFFE LLP

By:

  
Stephanie L. Seidman  
Registration No. 33,779

Date: November 4, 2003  
Attorney Docket No. 25886-0060  
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4350 La Jolla Village Drive, 7th Floor  
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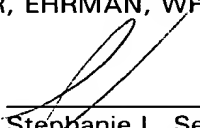
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Examiner: Unassigned

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT IN ACCORDANCE  
WITH 37 C.F.R. §§ 1.97-1.98**

Commissioner for Patents  
U.S. Patent and Trademark Office  
P. O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Because this Supplemental Information Disclosure Statement is filed prior to receipt of a First Office Action on the Merits for the above-captioned application, a fee for filing this statement should not be due. If, however, it is determined that a fee is due, any fees that may be due in connection with filing this paper may be charged to Deposit Account No. 50-1213.

In accordance with the duty of disclosure imposed by 37 C.F.R. §1.56 to inform the Patent Office of all references known by Applicant or Applicant's representative that may be material to the examination of the subject application, Applicant's representative hereby provides this Supplemental Information

**U.S.S.N. 09/905,405**  
**JAMES CHEN**  
**Supplemental Information Disclosure Statement**

Disclosure Statement that is prepared in accordance with 37 C.F.R. §§1.97-1.98. The Forms PTO-1449 (22 pages) and cited references are provided herewith.

The documents listed on the Forms PTO-1449 and supplied herewith are in the English language with the exception of items FV and FW. Items FV and FW (Japanese Patent No. 53084998 and 57185220, respectively) are in the Japanese language and are supplied with certified English language translations (Items HX and HY, respectively). Hence, in accordance with the requirements of 37 C.F.R. §1.98, as amended effective March 16, 1992, no further explanation of the listed items is necessary.

Applicant also makes known to the Office the following U.S. applications which are commonly owned and/or have one or more inventors in common with the instant application.

U.S.S.N.	Filing Date	Publication No. or Issue No.	Publication or Issue Date	Docket No.
10/384,895	03/07/03			0044RI
10/385,378	03/07/03			0044BRI
09/739,155	12/18/00	6,534,040	03/18/03	0048
09/592,150	06/12/00	6,624,187	09/23/03	0049
09/801,163	03/07/01			0050
10/351,730	01/23/03	2003-0167033	09/04/03	0052
10/211,784	08/01/02	2002-0198576	12/26/02	0054C
09/271,575	03/18/99	6,602,274	08/05/03	0055
09/905,501	07/13/01	2002-0087205	07/04/02	0055B
10/317,269	12/10/02	2003-0109813	06/12/03	0055C
10/410,700	04/08/03			0055D
09/905,777	07/13/01	2002-0127230	09/12/02	0057

**U.S.S.N. 09/905,405**  
**JAMES CHEN**  
**Supplemental Information Disclosure Statement**

09/386,692	08/31/99	2003-0114434	06/19/03	0061
09/760,362	01/12/01			0062
10/091,144	03/04/02			0066B
10/607,922	06/27/03			0094
10/613,474	07/02/03			0095
09/150,821	09/11/98			CHEN0079
10/021,891	12/12/01	2003-0030342	02/13/03	CHEN0145

Int'l App. No.	Filing Date	Publication No.	Publication Date	Docket No.
PCT/US03/02303	01/23/03			0052PC
PCT/US03/20427	06/27/03			0094PC
PCT/US03/21179	07/02/03			0095PC
03010382.4	05/10/03			CHEN0188
PCT/US02/28149	11/25/02	WO03052793	06/26/03	CHEN0187

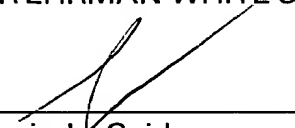
Although these documents are made known to the Patent and Trademark Office in compliance with Applicant's duty of disclosure, such disclosure is not to be construed as an admission by Applicant or Applicant's representative that any of the references, singly or in any combination thereof, is effective as prior art against the subject application. In accordance with 37 C.F.R. §1.97(h), the filing of this Supplemental Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 C.F.R. §1.56(b) exists.

\* \* \*

**U.S.S.N. 09/905,405**  
**JAMES CHEN**  
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Applicant respectfully requests that the Examiner review the foregoing references and information and that they be made of record in the file history of the above-captioned application.

Respectfully submitted,  
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	APPLICANT Chen, James	CUSTOMER NO. 24961	
	FILING DATE July 13, 2001	GROUP 3764	

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+ Derwent English language abstract and/or English translation provided.

### U.S. PATENT DOCUMENTS

EXAMINER INITIAL	*Ref. Code	DOCUMENT NUMBER							DATE	NAME	CLASS	SUB CLASS	FILING DATE
	A	0	0	1	8	3	7	1	01/23/03	Chen	607	88	07/13/01
	B	0	0	4	4	6	2	3	11/22/01	Chen	606	2	07/20/01
	C	0	0	4	9	2	4	7	04/25/02	Chen	514	410	01/12/01
	D	0	0	8	7	2	0	5	07/04/02	Chen	607	88	07/13/01
	E	0	1	0	9	8	1	3	06/12/03	Chen	60	2	12/10/02
	F	0	1	1	4	4	3	4	06/19/03	Chen <i>et al.</i>	514	185	08/31/99
	G	0	1	2	7	2	2	4	09/12/02	Chen	424	130.1	03/04/02
	H	0	1	2	7	2	3	0	09/12/02	Chen	424	178.1	07/13/01
	I	0	1	9	8	5	7	6	12/26/02	Chen	607	88	12/26/02
	J	4	3	3	7	7	5	9	07/06/82	Popovich <i>et al.</i>	126	438	02/27/81
	K	4	6	4	9	1	5	1	03/10/87	Dougherty <i>et al.</i>	514	410	05/14/84
	L	4	6	7	5	3	3	8	06/23/87	Bommer <i>et al.</i>	514	410	07/18/84
	M	4	6	9	3	5	5	6	09/15/87	McCaughan, Jr.	350	320	06/04/85
	N	4	6	9	3	8	8	5	09/15/87	Bommer <i>et al.</i>	424	2	04/30/85
	O	4	7	5	3	9	5	8	06/28/88	Weinstein <i>et al.</i>	514	410	06/25/86
	P	4	8	2	3	2	4	4	04/18/89	Alaybayoglu <i>et al.</i>	362	194	01/29/88
	Q	4	8	4	9	2	0	7	07/18/89	Sakata <i>et al.</i>	424	1.1	10/23/86
	R	4	8	6	6	1	6	8	09/12/89	Dougherty <i>et al.</i>	540	145	07/24/86
	S	4	8	8	9	1	2	9	12/26/89	Dougherty <i>et al.</i>	128	664	09/08/88
	T	4	9	3	2	9	3	4	06/12/90	Daugherty <i>et al.</i>	604	21	08/24/88
	U	4	9	6	8	7	1	5	11/06/90	Dougherty <i>et al.</i>	514	410	04/26/89

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Title:

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EXAMINER INITIAL	*Ref. Code	DOCUMENT NUMBER							DATE	NAME	CLASS	SUB CLASS	FILING DATE
	V	4	9	9	8	9	3	0	03/12/91	Lundahl	606	15	08/04/89
	W	5	0	0	2	9	6	2	03/26/91	Pandey <i>et al.</i>	514	410	07/20/88
	X	5	0	1	5	4	6	3	05/14/91	Dougherty <i>et al.</i>	424	7.1	04/26/90
	Y	5	0	2	6	3	6	7	06/25/91	Leckrone <i>et al.</i>	606	7	03/18/88
	Z	5	0	2	8	6	2	1	07/02/91	Dougherty <i>et al.</i>	514	410	05/16/89
	AA	5	0	6	2	4	3	1	11/05/91	Potter	128	665	03/30/90
	AB	5	0	7	4	6	3	2	12/24/91	Potter	385	31	03/07/90
	AC	5	0	9	3	3	4	9	03/03/92	Pandey <i>et al.</i>	514	410	10/15/90
	AD	5	1	4	5	8	6	3	09/08/92	Dougherty <i>et al.</i>	514	410	12/04/90
	AE	5	1	7	1	7	4	9	12/15/92	Levy	514	410	06/20/91
	AF	5	1	7	3	5	0	4	12/22/92	Dougherty	514	410	01/03/91
	AG	5	1	9	0	5	3	6	03/02/93	Wood <i>et al.</i>	606	16	02/19/92
	AH	5	1	9	0	9	6	6	03/02/93	Dougherty <i>et al.</i>	514	410	09/18/90
	AI	5	1	9	8	4	6	0	03/30/93	Pandey <i>et al.</i>	514	410	01/17/92
	AJ	5	2	2	5	4	3	3	07/06/93	Dougherty <i>et al.</i>	514	410	07/02/91
	AK	5	2	3	8	9	4	0	08/24/93	Liu <i>et al.</i>	514	410	09/30/91
	AL	5	2	5	7	9	7	0	11/02/93	Dougherty	604	20	04/09/92
	AM	5	2	6	3	9	2	5	11/23/93	Gilmore <i>et al.</i>	604	4	07/22/91
	AN	5	2	8	3	2	5	5	02/01/94	Levy <i>et al.</i>	514	410	09/11/92
	AO	5	3	1	4	9	0	5	05/24/94	Pandey <i>et al.</i>	514	410	11/09/92
	AP	5	3	4	4	4	3	4	09/06/94	Talmore	607	88	12/21/92
	AQ	5	3	9	9	5	8	3	03/21/95	Levy <i>et al.</i>	514	410	01/25/94

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	AR	5	4	0	3	3	0	8	04/04/95	Wood <i>et al.</i>	606	17	11/24/92
	AS	5	4	4	5	6	0	8	08/29/95	Chen <i>et al.</i>	604	20	08/16/93
	AT	5	4	5	6	6	6	1	10/10/95	Narciso, Jr.	604	20	03/31/94
	AU	5	4	5	9	1	5	9	10/17/95	Pandey <i>et al.</i>	514	410	05/05/94
	AV	5	4	7	4	5	2	8	12/12/95	Meserol	604	20	03/21/94
	AW	5	4	7	4	7	6	5	12/12/95	Thorpe	424	178.17	03/23/92
	AX	5	4	8	4	7	7	8	01/16/96	Kenney <i>et al.</i>	514	63	09/02/93
	AY	5	4	9	4	7	9	3	02/27/96	Schindele <i>et al.</i>	435	6	06/14/89
	AZ	5	4	9	8	7	1	0	03/12/96	Pandey <i>et al.</i>	540	145	04/22/94
	BA	5	5	0	6	2	5	5	04/09/96	Smith <i>et al.</i>	514	410	12/01/93
	BB	5	5	1	4	6	6	9	05/07/96	Selman	514	63	09/29/93
	BC	5	5	1	9	5	3	4	05/21/96	Smith <i>et al.</i>	359	599	05/25/94
	BD	5	5	4	3	5	1	4	08/06/96	Sessler <i>et al.</i>	540	472	04/06/95
	BE	5	5	6	5	5	5	2	10/15/96	Magda <i>et al.</i>	534	11	06/07/95
	BF	5	5	7	1	1	5	2	11/05/96	Chen <i>et al.</i>	607	92	05/26/95
	BG	5	5	7	6	0	1	3	11/19/96	Williams <i>et al.</i>	424	423	03/21/95
	BH	5	5	9	1	8	4	7	01/07/97	Pandey <i>et al.</i>	540	472	05/23/94
	BI	5	5	9	1	8	5	5	01/07/97	Hudkins <i>et al.</i>	546	256	04/24/95
	BJ	5	5	9	4	1	3	6	01/14/97	Sessler <i>et al.</i>	540	472	05/03/95
	BK	5	6	1	6	1	4	0	04/01/97	Prescott	606	10	03/21/94
	BL	5	6	3	0	9	9	6	05/20/97	Reno <i>et al.</i>	424	1.49	09/16/93
	BM	5	6	3	4	7	1	1	06/03/97	Kennedy <i>et al.</i>	362	119	09/13/94

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	BN	5	6	4	3	3	3	4	07/01/97	Eckhouse <i>et al.</i>	607	88	02/07/95
	BO	5	6	4	5	5	6	2	07/08/97	Hann <i>et al.</i>	606	194	03/11/96
	BP	5	6	5	5	8	3	2	08/12/97	Pelka <i>et al.</i>	362	296	05/22/95
	BQ	5	6	7	1	3	1	7	09/23/97	Weishaupt <i>et al.</i>	385	137	07/16/96
	BR	5	6	8	6	1	1	3	11/11/97	Speaker <i>et al.</i>	424	490	03/21/95
	BS	5	6	9	8	8	6	6	12/16/97	Doiron <i>et al.</i>	257	99	05/28/96
	BT	5	7	0	0	2	4	3	12/23/97	Nariso, Jr.	604	102	05/24/96
	BU	5	7	0	5	5	1	8	01/06/98	Levy <i>et al.</i>	514	410	02/16/95
	BV	5	7	0	7	4	0	1	01/13/98	Talmore	607	88	02/24/95
	BW	5	7	0	9	6	5	3	01/20/98	Leone	604	20	07/25/96
	BX	5	7	1	5	8	3	7	02/10/98	Chen	128	899	08/29/96
	BY	5	7	3	5	8	1	7	04/07/98	Shantha	604	100.02	05/19/95
	BZ	5	7	3	6	5	6	3	04/07/98	Richter	514	410	11/08/95
	CA	5	7	4	6	4	9	4	05/05/98	Koeda <i>et al.</i>	362	32	11/14/95
	CB	5	7	4	6	4	9	5	05/05/98	Klamm, Thomas L.	362	183	02/05/97
	CC	5	7	6	6	2	2	2	06/16/98	Petit	606	234	07/07/97
	CD	5	7	6	9	8	4	4	06/23/98	Ghaffari	606	16	06/07/95
	CE	5	7	7	5	3	3	9	07/07/98	Woodburn <i>et al.</i>	128	898	08/19/97
	CF	5	7	7	6	1	7	5	07/07/98	Eckhouse <i>et al.</i>	607	100	09/29/95
	CG	5	7	7	6	4	2	7	07/07/98	Thorpe <i>et al.</i>	424	1.49	06/01/95
	CH	5	7	9	7	8	6	8	08/25/98	Leone	604	21	07/25/96
	CI	5	7	9	8	3	4	9	08/25/98	Levy <i>et al.</i>	514	185	02/17/95

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	CJ	5	8	0	3	5	7	5	09/08/98	Anems <i>et al.</i>	362	32	03/15/96
	CK	5	8	0	7	8	8	1	09/15/98	Leong <i>et al.</i>	514	410	09/22/94
	CL	5	8	1	1	2	4	8	09/22/98	Ditlow <i>et al.</i>	435	7.9	06/07/95
	CM	5	8	1	7	0	4	8	10/06/98	Lawandy	604	20	03/20/97
	CN	5	8	2	4	6	5	7	10/20/98	Hill <i>et al.</i>	514	46	03/18/97
	CO	5	8	2	9	4	4	8	11/03/98	Fisher <i>et al.</i>	128	898	10/30/96
	CP	5	8	3	5	6	4	8	11/10/98	Narciso, Jr. <i>et al.</i>	385	31	03/07/97
	CQ	5	8	4	3	1	4	3	12/01/98	Whitehurst	607	88	08/24/94
	CR	5	8	4	9	0	2	7	12/15/98	Gart <i>et al.</i>	607	93	09/04/96
	CS	5	8	5	1	2	2	5	12/22/98	Lawandy	607	88	11/13/96
	CT	5	8	5	5	8	6	6	01/05/99	Thorpe <i>et al.</i>	424	1.49	03/02/94
	CU	5	8	6	3	5	3	8	01/26/99	Thorpe <i>et al.</i>	424	136.1	06/01/95
	CV	5	8	6	4	0	3	5	01/26/99	Pandey <i>et al.</i>	540	472	03/06/97
	CW	5	8	6	5	8	4	0	02/02/99	Chen	607	92	10/22/97
	CX	5	8	7	6	4	2	7	03/02/99	Chen <i>et al.</i>	607	88	01/29/97
	CY	5	8	8	2	3	2	8	03/16/99	Levy <i>et al.</i>	604	20	12/02/96
	CZ	5	9	0	6	5	7	9	05/25/99	Vander Salm <i>et al.</i>	600	424	08/14/97
	DA	5	9	1	2	2	5	7	06/15/99	Prasad <i>et al.</i>	514	356	09/05/96
	DB	5	9	1	3	8	3	4	06/22/99	Francais	600	591	06/09/98
	DC	5	9	1	3	8	8	4	06/22/99	Trauner <i>et al.</i>	607	88	10/31/96
	DD	5	9	1	9	2	1	7	07/06/99	Hughes	607	90	04/15/93
	DE	5	9	2	9	1	0	5	07/27/99	Sternberg <i>et al.</i>	514	410	06/01/98

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	APPLICANT Chen, James	CUSTOMER NO. 24961	
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### U.S. PATENT DOCUMENTS

EXAMINER INITIAL	*Ref. Code	DOCUMENT NUMBER							DATE	NAME	CLASS	SUB CLASS	FILING DATE
	DF	5	9	4	2	5	3	4	08/24/99	Trauner <i>et al.</i>	514	410	10/10/97
	DG	5	9	5	2	3	2	9	09/14/99	Cincotta <i>et al.</i>	514	224.5	01/23/97
	DH	5	9	5	2	3	6	6	09/14/99	Pandey <i>et al.</i>	514	410	06/22/98
	DI	5	9	6	1	5	4	3	10/05/99	Waldmann	607	88	11/01/96
	DJ	5	9	7	6	1	7	5	11/02/99	Hirano <i>et al.</i>	607	89	06/18/96
	DK	5	9	8	9	2	4	5	11/23/99	Prescott	606	14	03/31/97
	DL	6	0	1	3	0	5	3	01/11/00	Bower <i>et al.</i>	604	96	05/17/96
	DM	6	0	1	5	8	9	7	01/18/00	Theodore <i>et al.</i>	540	474	05/13/96
	DN	6	0	2	1	3	4	7	02/01/00	Herbst <i>et al.</i>	607	2	12/04/97
	DO	6	0	5	1	2	3	0	04/18/00	Thorpe <i>et al.</i>	424	178.1	06/01/95
	DP	6	0	5	8	9	3	7	05/09/00	Doiron <i>et al.</i>	128	898	07/18/97
	DQ	6	0	7	1	9	4	4	06/06/00	Rodgers <i>et al.</i>	514	408	11/12/97
	DR	6	0	8	3	4	8	5	07/04/00	Licha <i>et al.</i>	424	9.6	11/07/97
	DS	6	0	9	2	5	3	1	07/25/00	Chen <i>et al.</i>	128	899	06/03/99
	DT	6	0	9	6	0	6	6	08/01/00	Chen <i>et al.</i>	607	88	09/11/98
	DU	6	1	0	0	2	9	0	08/08/00	Levy <i>et al.</i>	514	410	09/14/98
	DV	6	1	0	0	8	9	3	08/08/00	Ensz <i>et al.</i>	345	420	05/23/97
	DW	6	1	0	3	7	5	1	08/15/00	Pandey <i>et al.</i>	514	410	06/22/98
	DX	6	1	0	7	3	2	5	08/22/00	Chan <i>et al.</i>	514	410	05/18/98
	DY	6	1	0	7	4	8	0	08/22/00	Funken <i>et al.</i>	540	145	07/15/98
	DZ	6	1	3	5	6	2	0	10/24/00	Marsh	362	377	04/10/96
	EA	6	1	6	5	4	4	0	12/22/00	Esenaliev	424	1.11	07/09/98

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	EB	6	1	8	3	4	4	4	02/06/01	Glines <i>et al.</i>	604	187	05/16/98
	EC	6	2	1	0	4	2	5	04/03/01	Chen	607	88	07/08/99
	ED	6	2	1	7	8	6	9	04/01	Meyer <i>et al.</i>	424	178.1	09/05/97
	EE	6	2	3	8	4	2	6	05/29/01	Chen	607	88	07/19/99
	EF	6	2	7	3	9	0	4	08/14/01	Chen <i>et al.</i>	607	88	03/02/99
	EG	6	2	8	1	6	1	1	08/28/01	Chen <i>et al.</i>	310	171	04/11/00
	EH	6	4	1	6	5	3	1	07/09/02	Chen	607	89	06/24/98
	EI	6	4	5	4	7	8	9	09/24/02	Chen	607	88	01/15/99
	EJ	6	5	2	0	6	6	9	02/18/03	Chen <i>et al.</i>	362	545	06/19/00
	EK	6	5	3	4	0	4	0	03/18/03	Pandey <i>et al.</i>	424	9.362	12/18/00
	EL	6	5	5	4	8	5	3	04/29/03	Chen	607	88	07/20/01
	EM	6	5	8	0	2	2	8	06/17/03	Chen <i>et al.</i>	315	185 R	08/22/00
	EN	6	6	0	2	2	7	4	08/05/03	Chen	607	88	03/18/99

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	EP	0	0	5	2	7	9	3	09/08/00	PCT				
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	ES	0	0	6	1	5	8	5	01/19/00	PCT				
	ET	0	0	6	1	5	8	5	10/19/00	PCT				

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	EW	0	1	4	3	8	2	5	06/21/01	PCT				
	EX		1	6	1	6	0	6	A2 05/06/85	PCT				
	EY	0	1	6	1	6	0	6	A3 11/21/85	EP				
	EZ	0	1	6	1	6	0	6	B1 04/28/93	EP				
	FA	0	1	7	5	6	1	7	03/26/86	EPO				
	FB	0	1	7	8	2	1	6	10/18/01	PCT				
	FC	0	1	7	8	4	5	8	10/18/01	PCT				
	FD	0	1	9	8	7	0	8	12/27/01	PCT				
	FE	0	2	1	7	6	9	0	02/28/02	PCT				
	FF	0	2	2	0	6	8	6	06/05/87	PCT				
	FG	0	2	7	6	1	2	1	A2 07/27/88	EP				
	FH	0	4	0	7	1	2	2	01/09/91	EP				
	FI	0	4	2	3	1	9	5	B1 04/06/94	EP				
	FJ	0	4	2	5	5	6	6	B1 10/12/94	EP				
	FK	0	4	5	0	1	4	9	B1 05/29/96	EP				
	FL	0	4	6	8	9	9	7	B1 03/12/97	EP				

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	FN	0	8	9	1	9	7	7	A1 01/20/99	EP				X +
	FO	1	1	1	0	9	6	3	A2 06/27/01	EP				
	FP	1	1	4	6	0	4	6	A2 10/17/01	EP				
	FQ	1	1	6	4	1	3	6	A1 12/19/01	EP				
	FR	1	2	5	6	5	8	6	A1 11/13/02	EP				
	FS	2	3	2	3	2	8	4	09/23/98	UK				
	FT	03	0	5	2	7	9	3	06/26/03	PCT				
	FU	03	0	6	1	6	9	6	07/31/03	PCT				
	FV	5	3	0	8	4	9	98	07/26/78	JP			Yes	
	FW	5	7	1	8	5	2	20	11/15/92	JP			Yes	
	FX	6	1	0	5	9	2	1	04/19/94	JP				X +
	FY	8	4	0	1	3	8	2	04/12/84	PCT				
	FZ	9	0	0	0	3	9	2	01/25/90	PCT				
	GA	9	0	0	0	8	9	5	02/08/90	PCT				
	GB	9	0	1	2	5	7	3	11/01/90	PCT				
	GC	9	1	1	0	4	7	4	07/25/91	PCT				
	GD	9	2	0	0	1	0	6	01/09/92	PCT				
	GE	9	3	1	1	6	5	7	06/24/93	PCT				

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	GG	9	4	0	6	4	2	4	03/31/94	PCT				
	GH	9	4	0	8	6	3	1	04/28/94	PCT				
	GI	9	5	3	2	0	0	1	11/30/95	PCT				
	GJ	9	5	3	2	2	0	6	11/30/95	PCT				
	GK	9	6	0	6	6	4	1	03/07/96	PCT				
	GL	9	6	1	7	8	4	4	06/13/96	PCT				
	GM	9	6	3	1	4	5	1	10/10/96	PCT				
	GN	9	7	3	1	5	8	2	09/04/97	PCT				
	GO	9	7	3	2	5	2	0	09/12/97	PCT				
	GP	9	7	3	2	8	8	5	09/12/97	PCT				
	GQ	9	7	4	0	6	7	9	11/06/97	PCT				
	GR	9	7	4	6	2	6	2	12/11/97	PCT				
	GS	9	8	2	0	9	3	6	05/22/98	PCT				
	GT	9	8	3	3	2	5	1	07/30/98	PCT				
	GU	9	8	4	7	5	4	1	10/29/98	PCT				
	GV	9	8	5	0	3	8	7	11/12/98	PCT				
	GW	9	8	5	2	6	1	0	11/26/98	PCT				
	GX	9	9	0	3	5	0	3	01/28/99	PCT				
	GY	9	9	5	9	6	4	1	11/25/99	PCT				
	GZ	9	9	6	0	1	9	1	11/25/99	PCT				

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													Yes	No
	HA	9	9	6	7	2	4	8	12/29/99	PCT				
	HB	9	9	6	7	2	4	9	12/29/99	PCT				
	HC	20	01	33	5	5	7	8	12/04/01	JP				X +
	HD	20	02	02	0	3	8	9	01/23/02	JP				X +

### OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

HE	Adili <i>et al.</i> , "Local delivery of photosensitizing drugs in arteries: a novel approach to photodynamic therapy for the prevention of intimal hyperplasia", <i>Proc. SPIE-INT. Soc. Opt. Eng.</i> , <u>2395</u> :402-408 (1995) (Ger. Symp. Laser Angioplasty, 2nd, 1980)
HF	Anonymous (1997) <a href="http://www.lumacare.com/">http://www.lumacare.com/</a> . 2 pages
HG	Barr <i>et al.</i> , "Normal tissue Damage Following Photodynamic Therapy: Are There Biological Advantages?", Book: Photodynamic Therapy, Basic Principles and Clinical Applications, Barbara W. Henderson and Thomas J. Dougherty, (Eds.); Marcel Dekker, Inc. New York, pp. 201-216
HH	Bayer <i>et al.</i> , "Raw eggs and cancer therapy", <i>Science Spectra</i> , <u>12</u> :34-41 (1998)
HI	Beems <i>et al.</i> , Photosensitizing properties of bacteriochlorophyllin <i>a</i> and bacteriochlorin <i>a</i> , two derivatives of bacteriochlorophyll <i>a</i> , <b>Photochem. Photobiol.</b> <u>46</u> (5): 639-643 (1987).
HJ	Belnier <i>et al.</i> , "Distribution and Elimination of Photofrin II in Mice", <i>Photochem. Photobiol.</i> , <u>50</u> (2):221-228 (1989)
HK	Belnier <i>et al.</i> , "Murine pharmacokinetics and antitumor efficacy of the photodynamic sensitizer 2-[1-hexyloxyethyl]-2-devinyl pyropheophorbide-a", <i>J. Photochem. Photobiol. B: Biol.</i> , <u>20</u> :55-61 (1993)
HL	Belnier <i>et al.</i> , "Protection of murine foot tissue and transplantable tumor against Photofrin-II-mediated photodynamic sensitization with WR-2721", <i>J. Photochem. Photobiol. B: Biol.</i> , <u>4</u> :219-225 (1989)
HM	Belnier <i>et al.</i> , "The Time Course of Cutaneous Protoporphyrin Photosensitization in the Murine Ear", <i>Photochem. Photobiol.</i> , <u>49</u> (3):369-372 (1989)

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### OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

HN	Bellnier <i>et al.</i> , "Design and construction of a light-delivery system for photodynamic therapy", <i>Med. Phys.</i> , <u>26(8)</u> :1552-1558 (1999)
HO	Bernstein <i>et al.</i> , "Photofrin photodynamic therapy for treatment of AIDS-related cutaneous Kaposi's sarcoma", <i>AIDS</i> , <u>13</u> :1697-1704 (1999)
HP	Birchler <i>et al.</i> , "Selective Targeting and Photocoagulation of Ocular Angiogenesis Mediated by a Phage-Derived Human Antibody Fragment", <i>Nature Biotechnol.</i> , <u>17</u> :984-988 (1999)
HQ	Blaauwgeers <i>et al.</i> , "Polarized Vascular Endothelial Growth Factor Secretion by Human Retinal Pigment Epithelium and Localization of Vascular Endothelial Growth Factor Receptors on the Inner Choriocapillaris", <i>American Journal of Pathology</i> , <u>155(2)</u> :421-428 (1999)
HR	Boulton <i>et al.</i> , "VEGF localization in diabetic retinopathy", <i>Br J Ophthalmol</i> , <u>82</u> :561-568 (1998)
HS	Boyle <i>et al.</i> , "Photobleaching of Photofrin II as a Means of Eliminating Skin Photosensitivity", <i>Photochem. Photobiol.</i> , <u>46(6)</u> :997-1001 (1987)
HT	Brasseur <i>et al.</i> , "Photodynamic Activities and Skin Photosensitivity of the <i>bis</i> (Dimethylhexylsiloxy)Silicon 2,3-Naphthalocyanine in Mice", <i>Photochem. Photobiol.</i> , <u>62(6)</u> :1058-1065 (1995)
HU	Brower, V., "Tumor Angiogenesis--New Drugs on the Block", <i>Nature Biotechnol.</i> , <u>17</u> :963-968 (1999)
HV	Casalini <i>et al.</i> , "Tumor Pretargeting: Role Of Avidin/Streptavidin On Monoclonal Antibody Internalization", <i>J.Nuclear Med.</i> , <u>38(9)</u> :1378-1381 (1997)
HW	Cattel <i>et al.</i> , "The Role of Conjugation Processes and Linking Agents in the Preparation of Molecular/Particulate Conjugates - a Review", <i>S.T.P. Pharma. Sci.</i> , <u>9(4)</u> :307-319 (1999)
HX	Certified English Translation of Japanese Patent Application No. Sho51-159879 (Japanese Kokai [Unexamined Patent] No. 53-84998), "Carcinostatic Method,"
HY	Certified English Translation of Japanese Kokai [Unexamined Patent] No. 57-185220, "Anti-Cancer Drug Having Chlorophyll Derivative Effective Component,"
HZ	Chen, J. "Next Generation light delivery system for multi-treatment extended duration photodynamic therapy", <i>SPIE Vol. 2972</i> , 1997, 161-167

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LU	Spikes, J.D., Porphyrins and related compounds as photodynamic sensitizers, <b>Annals of the New York Academy of Sciences</b> <u>244</u> : 496-508 (1975).
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Title:

FORM PTO-1449  LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	ATTY. DOCKET NO. 25886-0060	SERIAL NO. 09/905,405	CONFIRM NO. 6230
	APPLICANT Chen, James	CUSTOMER NO. 24961	
	FILING DATE July 13, 2001	GROUP 3764	

\* If an asterisk is placed beside the reference number, a copy is NOT provided because the reference was previously cited by or submitted to the PTO in a prior application that is identified in the statement and relied upon for an earlier filing date under 35 U.S.C. 120. 37 C.F.R. § 1.98(d).

+ Derwent English language abstract and/or English translation provided.

### OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

LW	Szeimies <i>et al.</i> , "A New Light Source for PDT of Skin Lesions", <a href="http://www.lumacare.com/paper3.htm">http://www.lumacare.com/paper3.htm</a> , 2 pages (after 1993)
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LY	Takita <i>et al.</i> , "Intracavitary Photodynamic Therapy for Malignant Pleural Mesothelioma", <i>Seminars Surg. Oncol.</i> , <u>11</u> :368-371 (1995)
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MB	Umemura <i>et al.</i> , "Recent Advances in Sonodynamic Approach to Cancer Therapy," <i>Ultrasonics Sonochemistry</i> , <u>3</u> :S187-S191 (1996)
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MG	Woodburn <i>et al.</i> , Evaluation of porphyrin characteristics required for photodynamic therapy, <i>Photochem. Photobiol.</i> <u>55</u> (5): 697-704 (1992).
MH	Yamamoto <i>et al.</i> , "Photodynamic effects on the nucleic acids of cancer cells sensitized by sodium phytychlorin", <i>Medicine and Biology</i> , <u>90</u> (6):397-400, English translation and certificate of translation included, 4 pages (June 10, 1975)

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MI	Yamamoto, T., "Effect of phytochlorin on transplantable cancer cells", <i>Medicine and Biology</i> , 89(6):433-438, English translation and certificate of translation included, 7 pages (December 10, 1974)
MJ	Yamamoto, T., "Suppression of tumors by the photodynamic action of phytochlorin sodium", <i>Medicine and Biology</i> , 90(4):161-164, English translation and certificate of translation included, 4 pages (April 10, 1975)
MK	Yamamoto et al., "Photoradiation therapy, phytochlorin and visible light", <i>Prevention and Detection of Cancer, Part 1, Prevention, Volume 2, Etiology-Prevention Methods</i> , Proceedings of the Third International Symposium on Detection and Prevention of Cancer held April 26, 1976 in New York, N.Y., 112:1789-1802 (1978)
ML	Yumita et al., "Sonodynamically Induced Antitumor Effect of Gallium-Porphyrin Complex by focused Ultrasound on Experimental Kidney Tumor", <i>Cancer Letters</i> , 112:79-86 (1997)
MM	Yumita et al., "The Combination Treatment of Ultrasound and Antitumor Drugs on Yoshida Sarcoma", <i>Japan J. Hyperthermic Oncology</i> , 3(2):175-182 (1987)
MN	Zheng et al., "Synthesis, Photophysical Properties, Tumor Uptake, and Preliminary in Vivo Photosensitizing Efficacy of a Homologous Series of 3-(1'-Alkylloxy)ethyl-3-devinylpurpurin-18-N-alkylimides with Variable Lipophilicity", <i>J. Med. Chem.</i> , 44:1540-1559 (2001)
MO	Zheng et al., "A Simple and Short Synthesis of Divinyl Chlorophyll Derivatives", <i>J. Org. Chem.</i> , 64:3751-3754 (1999)
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